



PTO/SB/21 (02-04)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/799,540	
	Filing Date	March 11, 2004	
	First Named Inventor	Nathaniel E. David	
	Art Unit	3731	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission		Attorney Docket Number	30864-701.201

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): return receipt postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Maya Skubatch, Reg. No. 52,505, WILSON SONSINI GOODRICH & ROSATI		
Signature			
Date	10-7-05		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Frank Chen		
Signature		Date	10-7-05

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Attorney Docket No. 30864-701.201
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Nathaniel E. DAVID

Serial Number: 10/799,540

Filing Date: March 11, 2004

Title: METHODS AND COMPOSITIONS FOR
ALTERING SKIN COLORATION

Group Art Unit: 3731

Examiner: Not yet assigned

CONFIRMATION NO: 7808

Certificate of Mailing or Transmission
37 CFR §1.8

I hereby certify that this paper is being: ☒ deposited with the U.S. Postal Service with sufficient postage as first class mail and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450; or ☐ transmitted by facsimile to the Patent and Trademark Office in accordance with §1.6(d) to facsimile number on 10-7 -, 2005.

Signature

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97

Sir:

Applicant hereby submits an Information Disclosure Statement along with attached form(s) PTO/SB/08A. A copy of each listed publication is being submitted, if required, pursuant to 37 C.F.R. §§1.97-1.98, as indicated below.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicant further requests that the Examiner initial and return the attached form(s) PTO/SB/08 in accordance with MPEP §609.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

☒ 37 CFR §1.97(b). This Information Disclosure Statement should be considered by the Office because:

- ☐ (1) It is being filed within 3 months of the application filing date of a national application and is other than a continued prosecution application under §1.53(d);
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage as set forth in §1.491 in an international application;
-- OR --
- ☒ (3) It is being filed before the mailing date of the first Office Action on the merits;
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under §1.114.

☐ 37 CFR §1.97(c). Although this Information Disclosure Statement is being filed after the period specified in 37 CFR §1.97(b), above, it is filed before the mailing date of the earlier of (1) a final office action under §1.113, (2) a notice of allowance under §1.311, or (3) an action that otherwise closes prosecution on the merits, this Information Disclosure Statement should be considered because it is accompanied by one of:

- ☐ a certification as specified in §1.97(e) provided concurrently herewith;
-- OR --
- ☐ a fee of \$180.00 as set forth in §1.17(p) authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 CFR §1.97(d). Although this Information Disclosure Statement is being filed after the mailing date of the earlier of (1) a final office action under §1.113 or (2) a notice of allowance under §1.311, it is being filed before payment of the issue fee and should be considered because it is accompanied by:

- A. a certification as specified in §1.97(e); and
- B. a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this Statement.

☐ 37 CFR §1.97(e). A certification signed by an Attorney of Record is provided herewith as required under 37 CFR §§1.97(b) and (c).

☒ 37 CFR §1.98(a)(2). The content of the Information Disclosure Statement is as follows:

- ☐ Copies of each of the references listed on the attached Form PTO/SB/08A are enclosed herewith.
-- OR --
- ☒ Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08A are NOT enclosed.
-- AND/OR --
- ☒ Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08A are enclosed in accordance with 37 CFR §1.98(a)(2).
-- AND/OR --
- ☐ Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98(a)(2)(iii).

- ☐ 37 CFR §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or references.
- ☐ Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.
- ☐ Pursuant to 37 CFR §1.98(a)(3)(ii), a copy of a translation of the non-English language reference(s) is provided herewith.
- ☐ Attached are copies of search report(s) from corresponding patent application(s), submitted in accordance with MPEP 609 D in support of the attached certification under 37 CFR §1.97(e)(1).
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 30864-701.201).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: 10-7-05

By: Maya S
Maya Skubatch, Reg. No. 52,505

650 Page Mill Road
Palo Alto, CA 94304-1050
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Customer No. 021971



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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/799,540
				Filing Date	March 11, 2004
				First Named Inventor	Nathaniel E. David
				Art Unit	3731
				Examiner Name	Not yet assigned
Sheet	1	of	3	Attorney Docket Number	30864-701.201

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-2002/041887A1	04/11/2002	3M Innovative Properties Company	
		US-2003/161797	08/28/2003	3M Innovative Properties Company	
		US-2003/199538A1	10/23/2003	3M Innovative Properties Company	
		US-2003/206868A1	11/06/2003	3M Innovative Properties Company	
		US-2004/175336A1	09/09/2004	3M Innovative Properties Company	
		US-2004/180919A1	09/16/2004	3M Innovative Properties Company	
		US-2004/181130A1	09/16/2004	3M Innovative Properties Company	
		US-2004/181211A1	09/16/2004	3M Innovative Properties Company	
		US-2004/202720A1	10/14/2004	3M Innovative Properties Company	
		US-2004/242620A1	12/02/2004	3M Innovative Properties Company	
		US-4,689,338	08/25/1987	Riker Laboratories, Inc.	
		US-5,175,296	12/29/1992	Minnesota Mining and Manufacturing Company	
		US-5,238,944	08/24/1993	Riker Laboratories, Inc.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet	2	of	3	Attorney Docket Number	30864-701.201

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-5,367,076	11/22/1994	Minnesota Mining and Manufacturing Company	
		US-5,395,937	03/07/1995	Minnesota Mining and Manufacturing Company	
		US-5,736,553	04/07/1998	Riker Laboratories, Inc.	
		US-5,741,908	04/21/1998	Minnesota Mining and Manufacturing Company	
		US-6,039,969	03/21/2000	3M Innovative Properties Company	
		US-6,245,776	06/12/2001	3M Innovative Properties Company	
		US-6,312,715	11/06/2001	3M Innovative Properties Company	
		US-6,486,168	11/26/2002	3M Innovative Properties Company	
		US-6,610,319	08/26/2003	3M Innovative Properties Company	
		US-6,696,076	02/24/2004	3M Innovative Properties Company	
		US-6,706,728	03/16/2004	3M Innovative Properties Company	

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Sheet	3	of	3	Attorney Docket Number	30864-701.201

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ² - Number ³ - Kind Code ⁴ (if known)				
		EP 145340	01/24/1990	Riker laboratories Inc.		
		EP 1495758 A2	01/12/2005	3M Innovative Properties Company		
		EP 385630	11/27/1996	Riker Laboratories Inc.		
		WO 98/17279	04/30/1998	Minnesota Mining and Manufacturing Company		
		WO 00/040228	07/13/2000	3M Innovative Properties Company		
		WO 02/102377	12/27/2002	3M Innovative Properties Company		
		WO 03/045391 A1	06/05/2003	3M Innovative Properties Company		
		WO 04/078138 A2	09/16/2004	3M Innovative Properties Company		
		WO 04/080292 A2	09/23/2004	3M Innovative Properties Company		
		WO 04/080430 A2	09/23/2004	3M Innovative Properties Company		
		WO 04/091500 A2	10/28/2004	3M Innovative Properties Company		
		WO 04/108072 A2	12/16/2004	3M Innovative Properties Company		
		WO 05/001022 A2	01/06/2005	3M Innovative Properties Company		
		WO 05/011629	02/10/2005	3M Innovative Properties Company		
		WO 05/020912 A2	03/10/2005	3M Innovative Properties Company		

Examiner Signature		Date Considered	
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